

Testing the Accuracy of Query Optimizers

Zhongxian Gu

Mohamed A. Soliman

Florian M. Waas

UC Davis

Greenplum/EMC

Greenplum/EMC

Evaluating Query Optimizer



Evaluating Query Optimizer

How can we measure the accuracy of a commercial query optimizer?



Quality Assurance Challenge

- Functional testing
 - Correctness tests
 - Testing for crashes/asserts, internal errors
- Performance/Optimality
 - Typically eye-balled by expert for ‘suspicious’ plans
 - Tested with trivial examples where optimal plan is known

Optimizers are hard to test;
generally under-tested;

Example

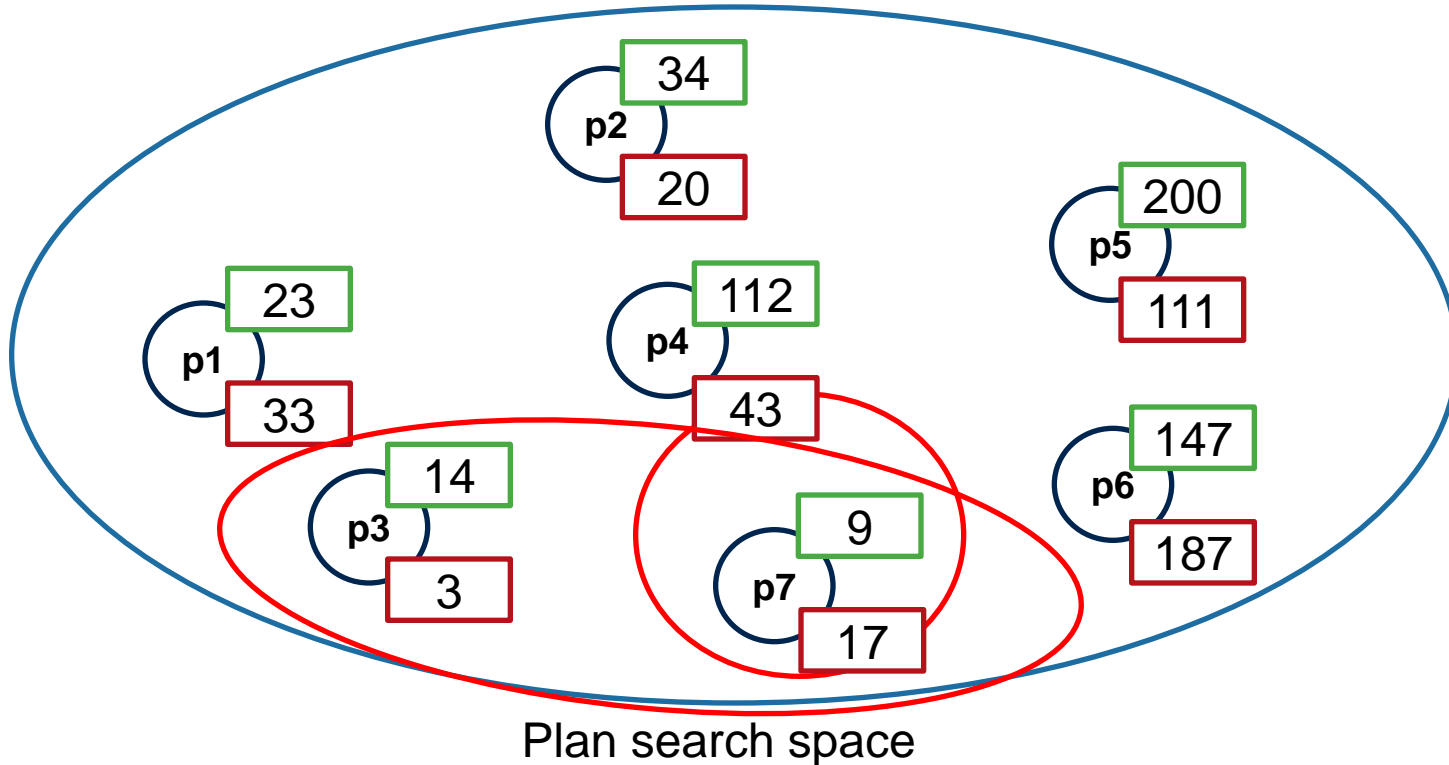
- Commercial DB Vendor
- Regular regressions
 - 400,000 test cases from real-world app stack
 - Used for both functional and performance testing
- Major optimizer extension/rework done
- Same regression test used
 - Ensures same functionality
 - Used to demonstrate significant performance improvements

Tests did not reveal sub-optimality for years!

Common Approaches

Approach	Pros	Cons
Eye-balling	<ul style="list-style-type: none">• Intuitive• Suitable for troubleshooting	<ul style="list-style-type: none">• Manual• Cannot be automated• Not exhaustive
“Freezing” of plans	<ul style="list-style-type: none">• Finds all possible regressions	<ul style="list-style-type: none">• Requires manual verification of changes• Not scalable• Hinders innovation in the long-term
Large test suites	<ul style="list-style-type: none">• May represent customer workloads• Large coverage	<ul style="list-style-type: none">• Merely cement current behavior• Do not test for optimality
Randomize tests	<ul style="list-style-type: none">• Test corner cases	<ul style="list-style-type: none">• Test “plumbing” only• Do not test for optimality• Do not test for correctness

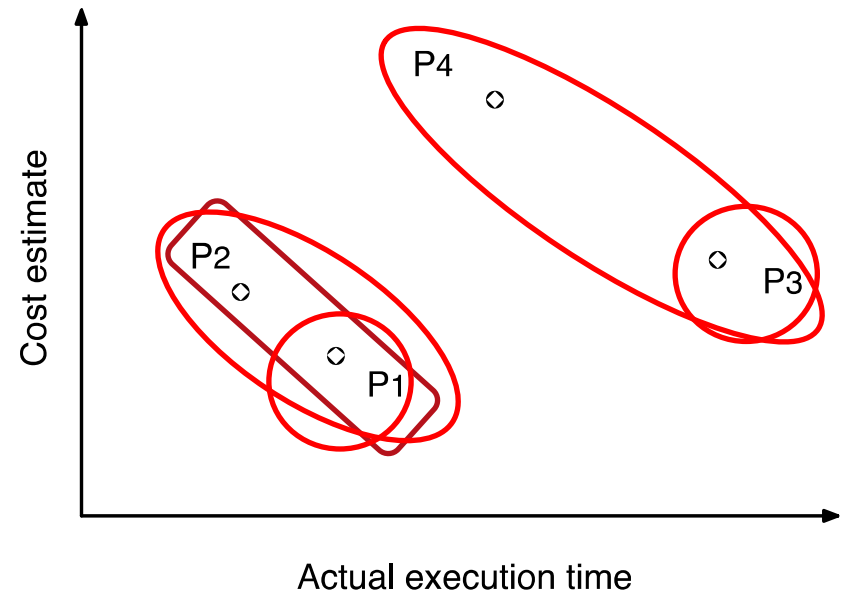
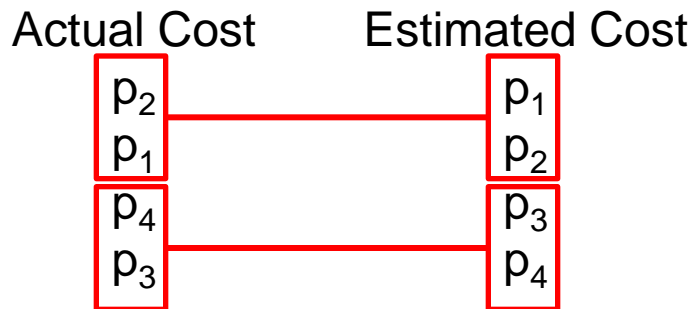
Measuring Optimizer Accuracy



Given two plan alternatives, can the optimizer distinguish them based on cost?

Measuring Optimizer Accuracy

- Compute a correlation score between the plan ranking based on estimated cost and that of actual cost
 - Plan discordance
 - Plan relevance
 - Pairwise distance

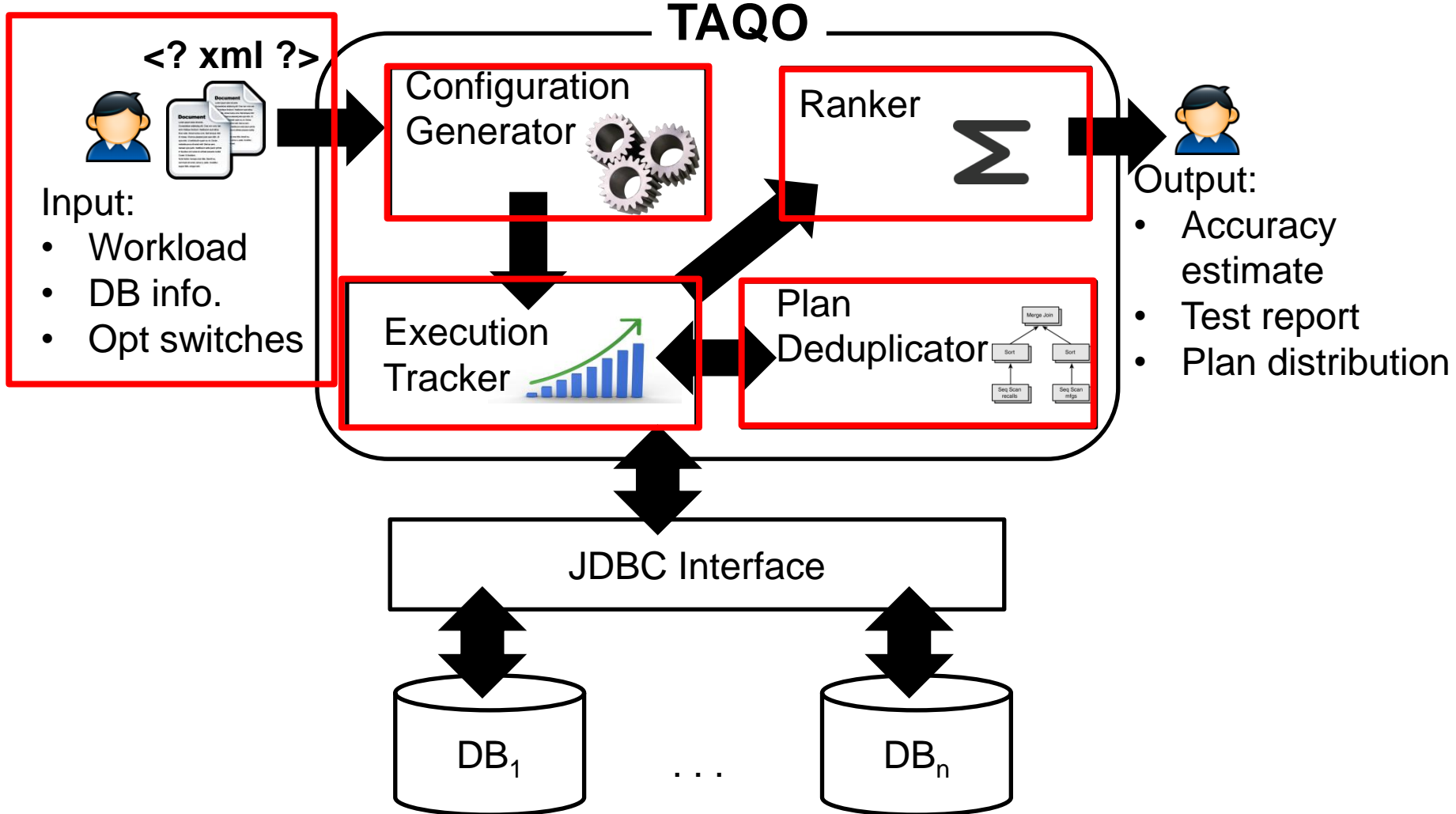


Generating Plan Sample

- Commercial optimizers provide switches to influence plan choice for a given query
 - Example: enable/disable Hash Join alternative
 - Usually achieved by issuing simple commands, or by incorporating special constructs into query text

- The existence of optimizer switches allows forcing a number of plan alternatives

TAQO



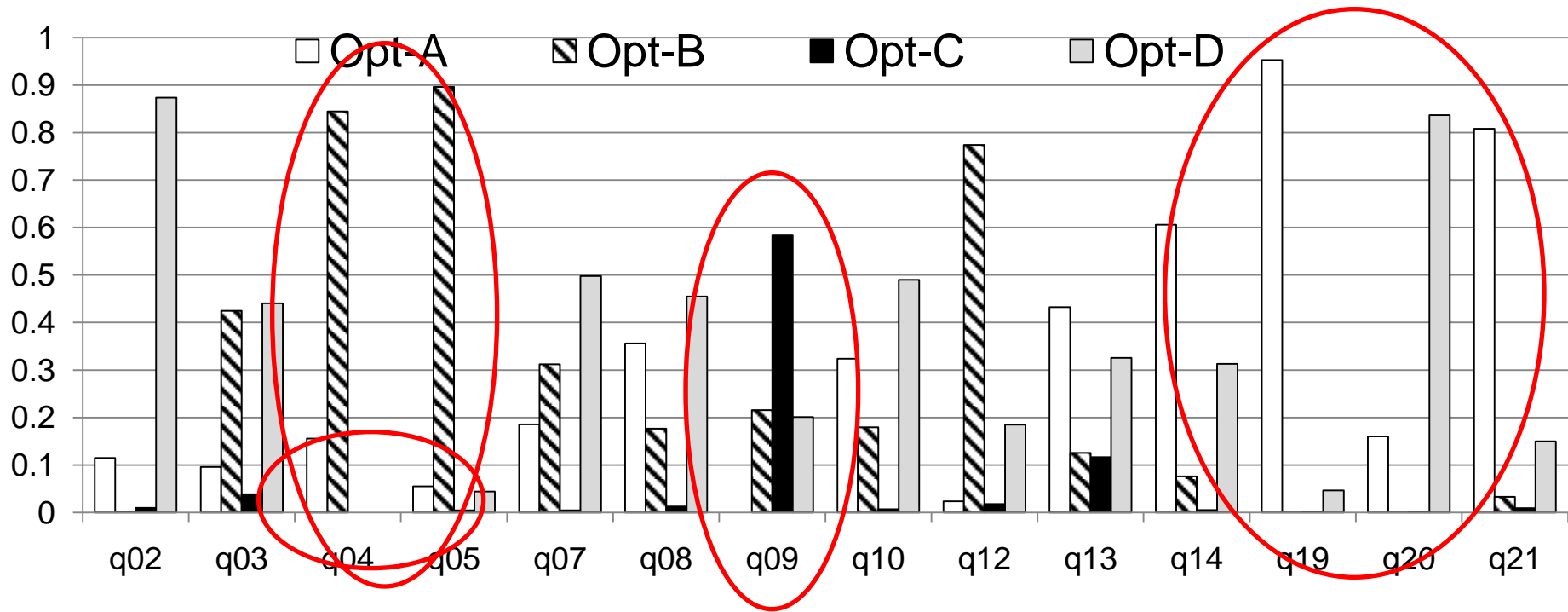
Evaluation

- 4 commercial optimizers
- TPC-H
- Compare results
 - Accuracy: Ranking of estimated vs. actual
 - Optimality: default plan is optimal
- The tests were automatically conducted using TAQO

Plan Samples

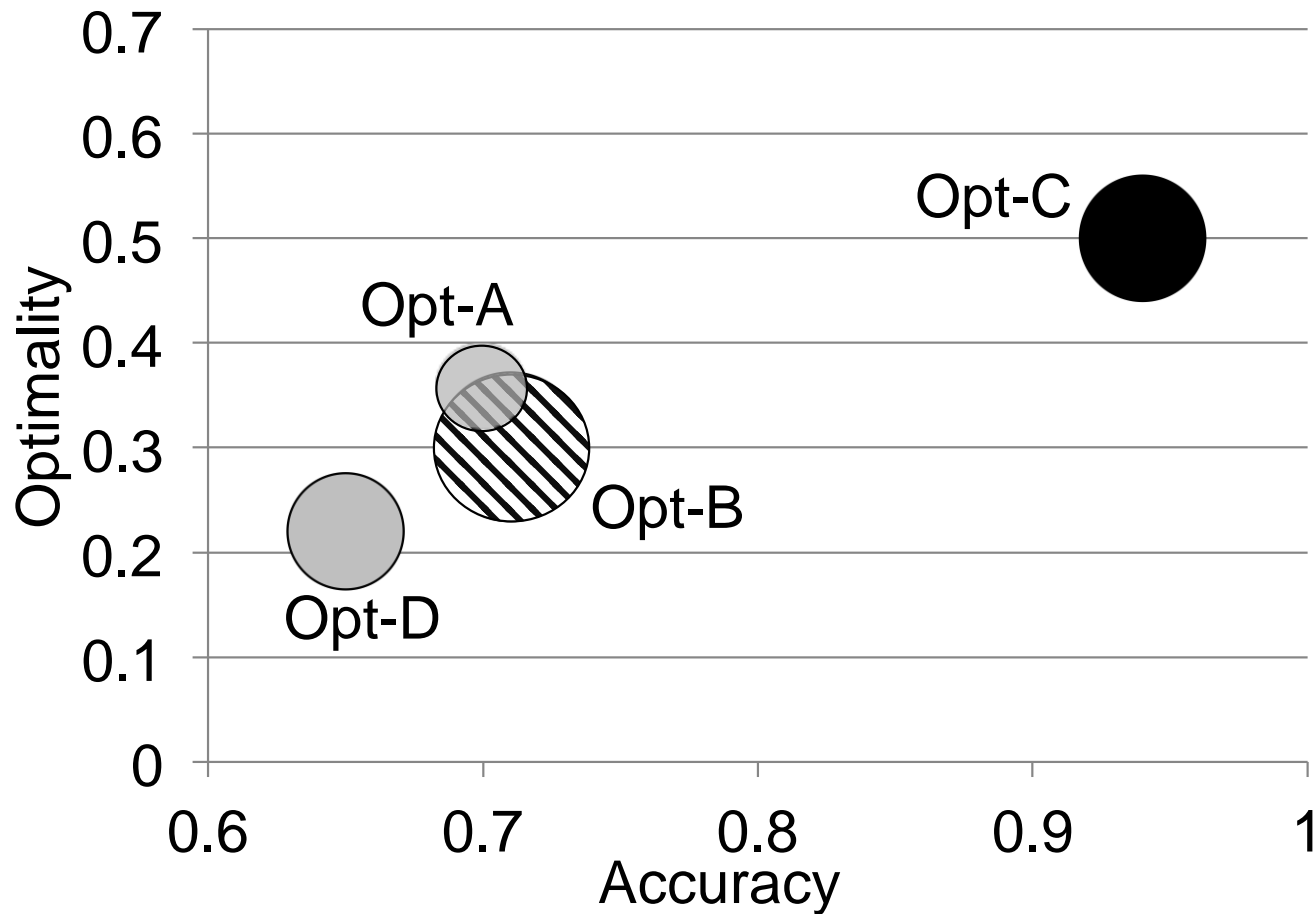
Query	Opt-A		Opt-B		Opt-C		Opt-D	
	OK	T/O	OK	T/O	OK	T/O	OK	T/O
Q1	1	5	1	1	31	2	6	10
Q2	73	6	161	40	236	120	87	14
Q3	24	8	19	37	27	67	49	15
Q4	26	2	2	2	24	64	49	15
Q5	34	8	22	133	32	57	140	36
Q6	3	0	1	1	16	0	4	0
Q7	34	6	45	105	36	57	126	26
Q8	20	18	25	163	18	44	65	32
Q9	4	46	14	144	3	50	96	23
Q10	34	6	65	98	29	64	56	9
Q11	36	2	173	23	1	0	33	12
Q12	15	3	8	13	60	31	80	24
Q13	19	13	14	2	28	6	60	24
Q14	13	1	11	4	29	14	27	4
Q15	6	0	2	0	1	0	69	8
Q16	54	6	62	9	1	0	24	23
Q17	6	18	10	14	1	0	24	23
Q18	31	19	28	84	1	0	53	13
Q19	12	2	11	13	86	1	26	6
Q20	83	5	61	87	178	58	94	49
Q21	18	38	75	59	98	70	98	72
Q22	12	8	28	4	1	0	37	12

Optimizers Comparison



Correlation scores for different TPC-H queries. Low score indicates high accuracy

Accuracy vs. Optimality



Summary

- TAQO: a new framework for testing the accuracy of query optimizers
- Leveraging rank correlation metrics for evaluating optimizer's accuracy
- Evaluation of major commercial query optimizers suggest that the abilities of different optimizers differ widely
- TAQO is part of Greenplum's development and test cycles



GREENPLUM

A DIVISION OF EMC

Rank Correlation Score

- Given a sample of plans, compute a rank correlation score based on the rank of estimate costs and actual costs

- Encompass quantitative value

- Discordance of plan pairs

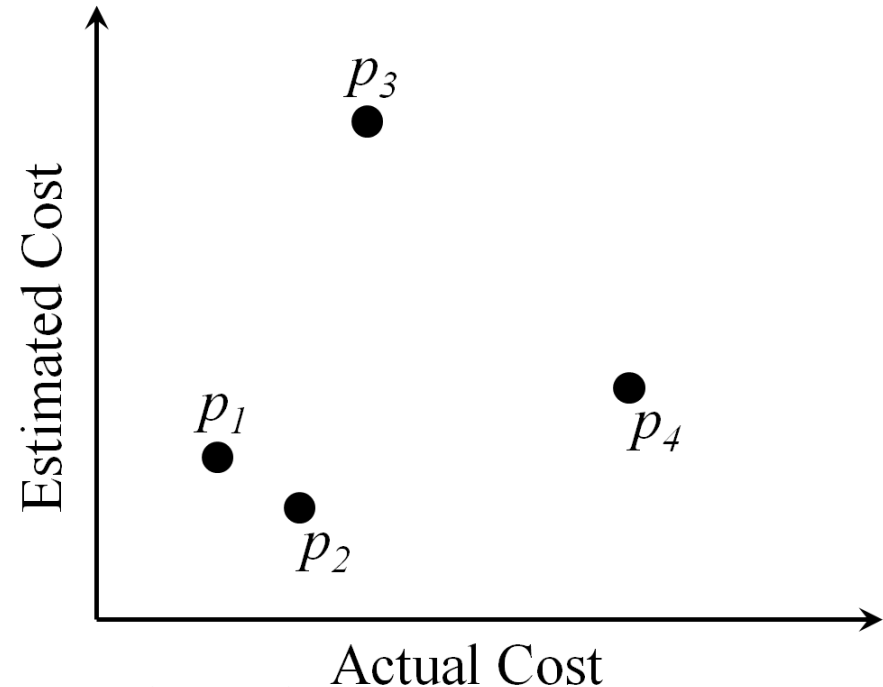
$$\tau = \sum_{i < j} \text{sgn}(e_j - e_i)$$

- Relevance of plan

$$w_m = \frac{a_1}{a_m}$$

- Pairwise of distance

$$d_{ij} = \sqrt{\left(\frac{a_j - a_i}{a_n - a_1}\right)^2 + \left(\frac{e_j - e_i}{\max_k(e_k) - \min_k(e_k)}\right)^2}$$



- Rank correlation score: $s = \sum_{i < j} w_i w_j d_{ij} \cdot \text{sgn}(e_j - e_i)$

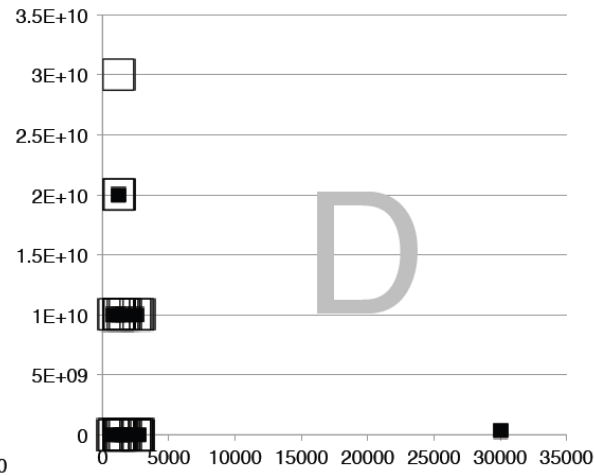
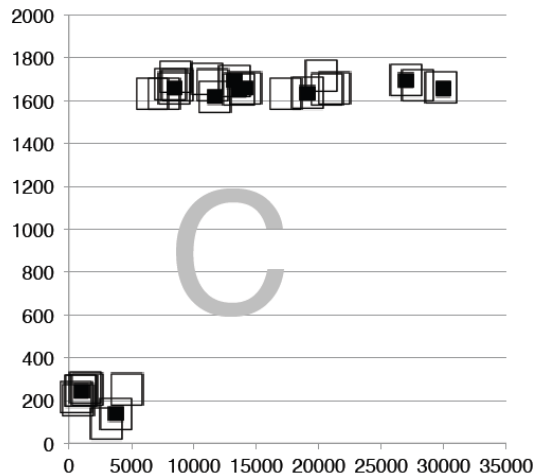
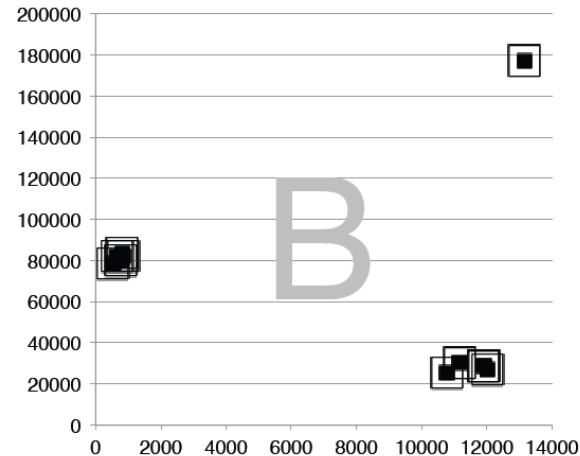
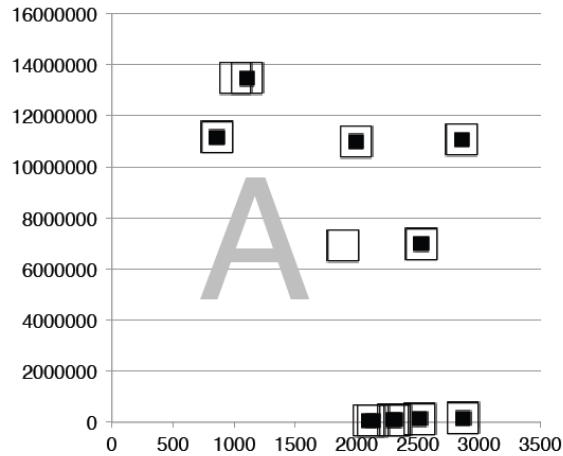
Normalization Across Systems

- Consider the actual number of plans
- Apply k-medoids algorithm to find the k representatives

$$k = \min_i (|S_i|)$$

- Robust to noise and outliers

In-depth Analysis



Plan distribution plots for Query-14

TAQO- Testing Accuracy of Query Optimizers

- How can we measure the accuracy of an optimizer?
- How can we compare among different optimizers?
- TAQO provides an automatic and general approach to accomplish the job
- Evaluation on commercial database optimizers